

# Digital Logic Systems

## Recitation 5: Propositional Logic contd. & Asymptotics

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# The De Morgan dual

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**Algorithm 1**  $DM(\phi)$  - An algorithm for evaluating the De Morgan dual of a Boolean formula  $\phi \in \mathcal{BF}(\{X_1, \dots, X_n\}, \{\neg, \text{OR}, \text{AND}\})$ .

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- ① **Base Cases:** (parse tree of size 1 or 2)
    - ① If  $\phi = 0$ , then return 1.
    - ② If  $\phi = 1$ , then return 0.
    - ③ If  $\phi = X_i$ , then return  $(\neg X_i)$ .
    - ④ If  $\phi = (\neg 0)$ , then return 0.
    - ⑤ If  $\phi = (\neg 1)$ , then return 1.
    - ⑥ If  $\phi = (\neg X_i)$ , then return  $X_i$ .
  - ② **Reduction Rules:** (parse tree of size at least 3)
    - ① If  $\phi = (\neg \phi_1)$ , then return  $(\neg DM(\phi_1))$ .
    - ② If  $\phi = (\phi_1 \cdot \phi_2)$ , then return  $(DM(\phi_1) + DM(\phi_2))$ .
    - ③ If  $\phi = (\phi_1 + \phi_2)$ , then return  $(DM(\phi_1) \cdot DM(\phi_2))$ .
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## Example

$DM(\neg(X + Y)) = ?$

# The De Morgan dual (cont.)

## Theorem

*For every Boolean formula  $\phi$ ,  $DM(\phi)$  is logically equivalent to  $(\neg\phi)$ .*

# Negation Normal Form

A formula is in negation normal form if negation is applied only directly to **variables**.

## Definition

A Boolean formula  $\phi \in \mathcal{BF}(\{X_1, \dots, X_n\}, \{\neg, \text{OR}, \text{AND}\})$  is in *negation normal form* if the parse tree  $(G, \pi)$  of  $\phi$  satisfies the following condition. If a vertex in  $G$  is labeled by negation (i.e.,  $\pi(v) = \neg$ ), then  $v$  is a parent of a leaf labeled by a **variable**.

## Example

- The formula  $(\neg X) \cdot (\neg Y)$  is in negation normal form.
- The formulas  $(\neg 0)$ ,  $\neg(A \cdot B)$ ,  $\text{NOT}(\text{NOT}(X))$  are not in negation normal form.

## Theorem

Let  $\phi \in \mathcal{BF}(\{X_1, \dots, X_n\}, \{\neg, \text{OR}, \text{AND}\})$ . Then,  $\text{NNF}(\phi)$  is logically equivalent to  $\phi$  and in negation normal form.

# Negation Normal Form (cont.)

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**Algorithm 2**  $\text{NNF}(\phi)$  - An algorithm for computing the negation normal form of a Boolean formula  $\phi \in \mathcal{BF}(\{X_1, \dots, X_n\}, \{\neg, \text{OR}, \text{AND}\})$ .

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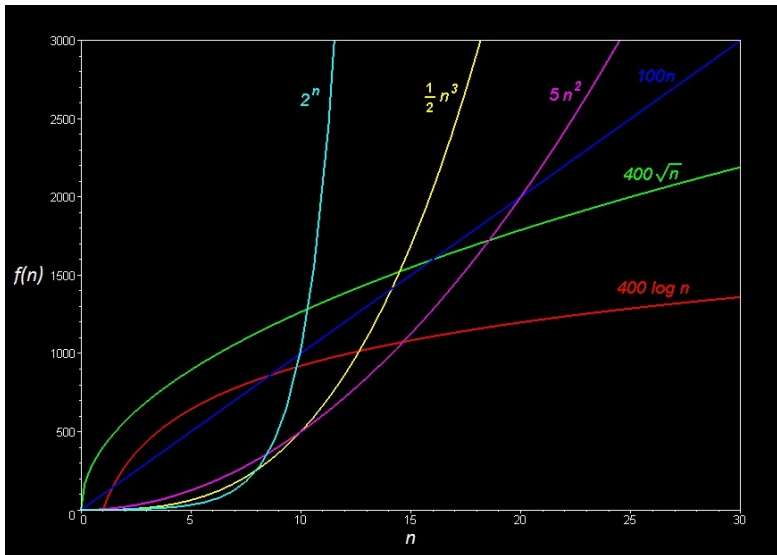
- ① **Base Cases:** (parse tree of size 1 or 2)
    - ① If  $\phi \in \{0, 1, X_i, (\neg X_i)\}$ , then return  $\phi$ .
    - ② If  $\phi = (\neg 0)$ , then return 1.
    - ③ If  $\phi = (\neg 1)$ , then return 0.
  - ② **Reduction Rules:** (parse tree of size at least 3)
    - ① If  $\phi = (\neg \phi_1)$ , then return  $\text{DM}(\text{NNF}(\phi_1))$ .
    - ② If  $\phi = (\phi_1 \cdot \phi_2)$ , then return  $(\text{NNF}(\phi_1) \cdot \text{NNF}(\phi_2))$ .
    - ③ If  $\phi = (\phi_1 + \phi_2)$ , then return  $(\text{NNF}(\phi_1) + \text{NNF}(\phi_2))$ .
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## Example

- $\text{NNF}(\neg\neg X) = ?$
- $\text{NNF}(\neg\neg\neg X) = ?$

# Asymptotics

# Order of Growth - Popular functions $f(n)$



## Definition (7.1)

Let  $f, g : \mathbb{N} \rightarrow \mathbb{R}^{\geq}$  denote two functions.

- 1 We say that  $g(n) = O(f(n))$ , if there exist constants  $c_1, c_2 \in \mathbb{R}^{\geq}$  such that, for every  $n \in \mathbb{N}$ ,

$$g(n) \leq c_1 \cdot f(n) + c_2.$$

- 2 We say that  $g(n) = \Omega(f(n))$ , if there exist constants  $c_3 \in \mathbb{R}^{\geq}$ ,  $c_4 \in \mathbb{R}^{\geq}$  such that, for every  $n \in \mathbb{N}$ ,

$$g(n) \geq c_3 \cdot f(n) + c_4.$$

- 3 We say that  $g(n) = \Theta(f(n))$ , if  $g(n) = O(f(n))$  and  $g(n) = \Omega(f(n))$ .



## Definition (7.2)

Let  $f, g : \mathbb{N} \rightarrow \mathbb{R}^{\geq}$  denote two functions.

- 1 We say that  $g(n) = O(f(n))$ , if there exist constants  $c \in \mathbb{R}^{\geq}$  and  $N \in \mathbb{N}$ , such that,

$$\forall n > N : g(n) \leq c \cdot f(n).$$

- 2 We say that  $g(n) = \Omega(f(n))$ , if there exist constants  $d \in \mathbb{R}^{\geq}$  and  $N \in \mathbb{N}$ , such that,

$$\forall n > N : g(n) \geq d \cdot f(n).$$

- 3 We say that  $g(n) = \Theta(f(n))$ , if  $g(n) = O(f(n))$  and  $g(n) = \Omega(f(n))$ .

### Lemma

*Definitions 7.1, 7.2 are equivalent if  $f(n) \geq 1$  and  $g(n) \geq 1$ , for every  $n$ .*

### Proof.

On the whiteboard.



# Order of Growth - Quick Arithmetics

It is often easy to determine the order of growth for polynomials and exponentials. Just take the **dominant member**:

- $n^{10} + n^9 + n^8 + n^2 + 10 = \Theta(n^{10})$
- $3^n + 2^n + n^{1000} = \Theta(3^n)$

All the **constant** numbers are  $O(1)$ .

- $0 = \Theta(1)$
- $3240009100 = \Theta(1)$

**Logs** of all bases have the same order of growth

- $\ln(n) = \Theta(\log_2(n))$
- $\log_{10}(n) = \Theta(\log_2(n))$

## Reminder: Is it enough to solve for powers of 2?

In the following lemma we show that, under reasonable conditions, it suffices to consider powers of two when bounding the rate of growth.

### Lemma (7.2)

*Assume that:*

- 1 *The functions  $f(n)$  and  $g(n)$  are both monotonically nondecreasing.*
- 2 *The constant  $\rho$  satisfies, for every  $k \in \mathbb{N}$ ,*

$$\rho \geq \frac{g(2^{k+1})}{g(2^k)}.$$

*If  $f(2^k) = O(g(2^k))$ , then  $f(n) = O(g(n))$ .*

## Revisiting: Is it enough to solve for powers of 2? Yes!

An analogous lemma that states that  $f(n) = \Omega(g(n))$  can be proved if  $\frac{g(2^{k+1})}{g(2^k)} \geq \rho$ , for a constant  $\rho$ . The lemma is as follows.

### Lemma (7.3)

*Assume that:*

- 1 *The functions  $f(n)$  and  $g(n)$  are both monotonically nondecreasing.*
- 2 *The constant  $\rho$  satisfies, for every  $k \in \mathbb{N}$ ,*

$$\rho \leq \frac{g(2^{k+1})}{g(2^k)}.$$

*If  $f(2^k) = \Omega(g(2^k))$ , then  $f(n) = \Omega(g(n))$ .*

## Example - 1

Let  $g(n) \triangleq \log_3 n$ . We claim that  $g(n) = \Theta(\log_2 n)$

Proof.

Recall that for every  $a, b, c \in \mathbb{R}$ ,  $a, c \neq 1$ ,

$$\log_a b = \frac{\log_c b}{\log_c a}. \quad (1)$$

Hence,  $\log_3 n = \frac{\log_2 n}{\log_2 3}$ . Since,  $5/8 < \frac{1}{\log_2 3} < 2/3$  is a constant, then  $c = 2/3, d = 5/8, N = 0$  satisfy the conditions in Definition 7.2.  $\square$

Hence, when considering the order of growth of log functions with a constant base, that is  $\log_c n$  and  $\log_d n$  where  $c, d$  are constants, we may omit the base and simply refer the order of growth of these functions as  $O(\log n)$ ,  $\Omega(\log n)$  and  $\Theta(\log n)$ .

## Example - 2

Let  $g(n) \triangleq n^{\log_2 c}$ . We claim that  $g(n) = \Theta(c^{\log_2 n})$ .

Proof.

We prove the following stronger claim.

$$n^{\log_2 c} = c^{\log_2 n}. \quad (2)$$

That will conclude the proof, since for every two functions  $f, g : \mathbb{N} \rightarrow \mathbb{R}^{\geq}$ , if  $f = g$  then  $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(f(n))$ .

Let us apply the  $\log_2$  function on the left-hand side and the right-hand side of Eq. 2. We get

$$\log_2(n^{\log_2 c}) \stackrel{?}{=} \log_2(c^{\log_2 n}) \Leftrightarrow \log_2 c \cdot \log_2 n = \log_2 n \cdot \log_2 c, \quad (3)$$

Where the transition follows from the fact that  $\log(a^b) = b \cdot \log(a)$ . Since Eq. 3 holds with equality, and since the  $\log$  function is one-to-one, then their arguments are equal as well, i.e.,  $n^{\log_2 c} = c^{\log_2 n}$ , as required. □

## Example - 3: Recurrence 1.

Consider the recurrence for every  $n \in \mathbb{N}^+$

$$f(n) \triangleq \begin{cases} 1 & \text{if } n = 1 \\ \log_2(n) + f(\lfloor \frac{n}{2} \rfloor) & \text{if } n > 1. \end{cases} \quad (4)$$

### Lemma

*Find the rate of growth of the function  $f(n)$  defined in Eq. 4.*



## Example - 3: Solution (1/3) - assume $n = 2^k$ and guess

Let's translate the  $f(n)$  into terms of  $k$

$$f(n) \triangleq \begin{cases} 1 & \text{if } n = 1 \\ \log_2(n) + f(\lfloor \frac{n}{2} \rfloor) & \text{if } n > 1. \end{cases} \quad (5)$$

$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ k + f(2^{k-1}) & \text{if } k > 0. \end{cases} \quad (6)$$

Now, let's try to gain a **guess** for a closed form expression of  $f(2^k)$ :

$$f(2^k) = k + f(2^{k-1}) = k + (k-1) + f(2^{k-2})$$

This gives us the **intuition** that  $f(2^k)$  can be expressed as:

$$f(2^k) = 1 + \sum_{i=1}^k i = 1 + \frac{k(k+1)}{2}$$

## Example - 3: Solution (2/3) - prove your guess

We will prove by an induction on  $k$ , that the closed form  $f(2^k) = 1 + \frac{k(k+1)}{2}$  equals the recursive definition of  $f(2^k)$ .

- **Basis**  $k=0$ : closed form  $f(2^0) = 0 \cdot \frac{0+1}{2} + 1 = 1$  is consistent with the recursive form for  $f(1) = 1$
- **Hypothesis**:  $f(2^k) = 1 + \frac{k(k+1)}{2}$
- **Step**: According to recursion rule:  $f(2^{k+1}) = k + 1 + f(2^k)$   
From induction hypothesis this is equal to

$$k+1+1+\frac{k(k+1)}{2} = 1+\frac{2(k+1)}{2}+\frac{k(k+1)}{2} = 1+\frac{(k+1)(k+2)}{2}$$

This completes our proof by induction and we are clear to state that

$$f(2^k) = 1 + \frac{k(k+1)}{2} = \Theta\left(1 + \frac{k(k+1)}{2}\right) = \Theta(k^2)$$

## Example - 3: Solution (3/3) - generalize to every $n \in \mathbb{N}^+$

We would like to show that Lemmas 7.2 and 7.3 hold:

- 1 Both  $f$  and  $g$  are monotonous non-descending. The  $f(n)$  is a recurrence that with each recursive call, can only grow (see the positive terms in the Eq. 4). Whereas the  $g(n)$  is the well known logarithm, which is also known to be non-descending.
- 2 Now we have to show that  $\frac{g(2^{k+1})}{g(2^k)}$  is bounded by two constants  $\rho_1$  and  $\rho_2$ :

$$\frac{g(2^{k+1})}{g(2^k)} = \frac{(k+1)^2}{k^2} = 1 + \frac{2}{k} + \frac{1}{k^2}$$

Observe that the rightmost expression can be bounded:

$$\rho_1 = 1 \leq 1 + \frac{2}{k} + \frac{1}{k^2} \leq 4 = \rho_2$$

Explanation:  $0 \leq 2/k \leq 2$  and  $0 \leq 1/k^2 \leq 1$

Since Lemmas 7.2 and 7.3 hold, we generalize our  $\Theta$  bound:

$$f(n) = \Theta((\log n)^2)$$

# Recurrence Trees

- Recurrence tree is a way of illustrating the recursive calls of a function.
- Given a recursive function  $f(n)$ :
  - The **root** will represent the initial function call
  - The **internal nodes** represent the intermediate calls
  - The **leaves** represent reaching the base rules
- Each node of a tree is associated with a **function argument** and a **penalty**.

# Recurrence Trees - The Recipe

- ➊ Given  $f(n)$  - construct a tree, assume  $n = 2^k$  if necessary.
- ➋ Determine  $L$  - the number of tree levels.
- ➌ For every level  $i \in [0, \dots, L-1]$  determine  $penalty_i$
- ➍ Obtain a guess  $f(2^k) = \sum_{i=0}^{L-1} penalty_i$
- ➎ Generalize the guess to obtain terms of  $f(n)$

## Question

Find the  $\Theta$  bound for the following recursive  $f(n)$ :

$$f(n) \triangleq \begin{cases} 1 & \text{if } n = 1 \\ 2 \cdot f(\lfloor \frac{n}{2} \rfloor) + n & \text{if } n > 1. \end{cases}$$

# Recurrence Trees - Example - Assume $n = 2^k$

## Question

Find the  $\Theta$  bound for the following recursive  $f(n)$ :

$$f(n) \triangleq \begin{cases} 1 & \text{if } n = 1 \\ 2 \cdot f(\lfloor \frac{n}{2} \rfloor) + n & \text{if } n > 1. \end{cases}$$

We assume  $n = 2^k$  and translate the function

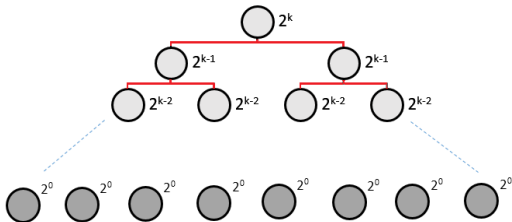
$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ 2 \cdot f(2^{k-1}) + 2^k & \text{if } k > 0. \end{cases}$$

# Recurrence Trees - Example - Draw the tree

Under assumption  $n = 2^k$

$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ 2 \cdot f(2^{k-1}) + 2^k & \text{if } k > 0. \end{cases}$$

We notice that the arity of the tree is 2.





# Recurrence Trees - Example - Find the number of levels

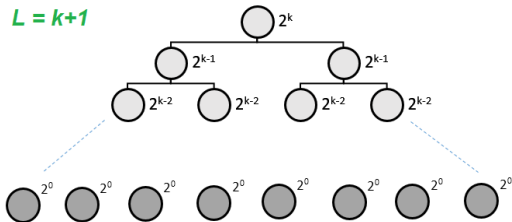
Under assumption  $n = 2^k$

$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ 2 \cdot f(2^{k-1}) + 2^k & \text{if } k > 0. \end{cases}$$

## Thumb rule

If each recursive call cuts the function argument ( $n$ ) by a factor of  $b$ , then the tree depth is  $\log_b(n)$ , number of levels is  $\log_b(n) + 1$ .

$L = k+1$



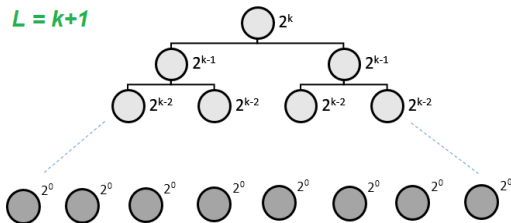
# Recurrence Trees - Example - Penalty at each level

Under assumption  $n = 2^k$

$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ 2 \cdot f(2^{k-1}) + 2^k & \text{if } k > 0. \end{cases}$$

We notice the **penalty** at each single call.

$L = k+1$



level id

0

1

2

$\vdots$

$i$

$\vdots$

$k$

penalty

$2^k$

$2 \cdot 2^{k-1}$

$2^2 \cdot 2^{k-2}$

$\vdots$

$2^i \cdot 2^{k-i}$

$\vdots$

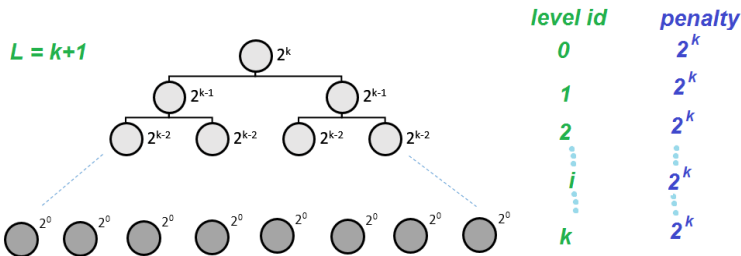
$2^k \cdot 2^{k-k}$

# Recurrence Trees - Example - Penalty at each level

Under assumption  $n = 2^k$

$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ 2 \cdot f(2^{k-1}) + 2^k & \text{if } k > 0. \end{cases}$$

We notice the **penalty** at each single call.



# Recurrence Trees - Example - Sum up the penalties

Under assumption  $n = 2^k$

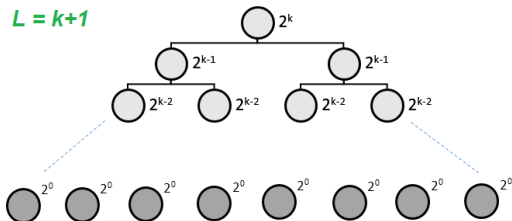
$$f(2^k) \triangleq \begin{cases} 1 & \text{if } k = 0 \\ 2 \cdot f(2^{k-1}) + 2^k & \text{if } k > 0. \end{cases}$$

We sum up the penalties over all the levels and obtain a guess:

A guess

$$f(2^k) = \sum_{i=0}^{L-1} \text{penalty}_i = (k+1) \cdot 2^k = \Theta(k \cdot 2^k)$$

$L = k+1$



level id

0

1

2

$\vdots$

$i$

$\vdots$

k

penalty

$2^k$

$2^k$

$2^k$

$\vdots$

$2^k$

$\vdots$

$2^k$

We use our good old lemmas 7.2, 7.3 in order to generalize the bound to all  $n \in \mathbb{N}^+$

## Lemma 7.2, 7.3 justification

- 1 Clearly  $f(n), g(n)$  are monotonous non-decreasing.
- 2  $\frac{g(2^{k+1})}{g(2^k)} = \frac{(k+1) \cdot 2^{k+1}}{k \cdot 2^k} = \frac{(k+1) \cdot 2 \cdot 2^k}{k \cdot 2^k} = 2 + \frac{2}{k}$  is bounded by 2 and 4.

Since we proved that  $f(2^k) = \Theta(k \cdot 2^k)$ , the lemmas imply that  $f(n) = \Theta(n \cdot \log(n))$